

Introduction

- disjunction markers in some languages behave like positive polarity items (PPIs; Szabolcsi 2002)
- De Morgan’s laws
 - $\neg(p \vee q) = \neg p \wedge \neg q$
 - $\neg(p \wedge q) = \neg p \vee \neg q$
- *neither_nor* reading = conjunctive reading = De Morganic reading = narrow-scope reading
- disjunctive reading = wide-scope reading \neq exclusive disjunction XOR

Some logically well-behaved languages:

- (1) James doesn’t speak Russian or German.
 - a. James speaks neither.
 - b. Either James doesn’t speak Russian or he doesn’t speak German.
- (2) Jan spreekt geen Russisch of Duits.
John speaks NEG Russian or German
see above
- (3) Es nestrādāju skolā vai universitātē.
I not.work school.LOC or university.LOC
‘I don’t work at a school or university.’

[Dutch]

[Latvian]

In other languages the relevant De Morgan’s law doesn’t hold:

- (4) Mari nem járt hokira vagy algebra-ra
Mari not went hockey-to or algebra-to
 \neq ‘Mary didn’t take hockey and didn’t take algebra.’ ‘Either Mary didn’t take hockey or she didn’t take algebra.’ [Hungarian]
 - (5) On ne govorit po-russki ili po-nemecki
He NEG speaks Russian or German
‘He doesn’t speak Russian or German.’ [Russian]
- Hungarian-type languages
 - Polish, Serbo-Croatian, Japanese (Szabolcsi 2002); French (Spector 2014)

Background and motivation

Szabolcsi (2002)

- (6) On ne govorit po-russki ili / libo po-nemecki
he not speaks by-Russian ILI LIBO by-German
‘He doesn’t speak Russian or he doesn’t speak German.’

Empirical motivation

- questionable acceptability of the wide-scope reading

Theoretical motivations

- Spector’s (2014) taxonomy of positive polarity items (PPIs)
- purely semantic unificationist accounts of PPI-hood

Aims

- compare two plain disjunction markers—*ili* and *libo*—in Russian
 - against backdrop of **exhaustification**-based analyses of positive polarity
- situate *ili* and *libo* on crosslinguistic landscape of positive polarity items
- argue for a more prominent rôle of syntax in PPI-(anti-)licensing

Claims and premises

Core claims

- despite not being discontinuous, *libo* is a global PPI
- *ili* isn’t a local PPI
- purely semantic analyses of PPI-hood are insufficient
- there must be more than one path to PPI-hood

Some assumptions

- disjunction does not take wide scope by movement/QR
- instead, scope piggybacks on phrasal vs. clausal character of disjunction

Russian plain disjunction and positive polarity

Before we can continue talking about PPI-disjunctions, it is useful to recap the two central properties most PPIs have in common: *anti-licensing* and *rescuing*.

Properties of PPIs

Anti-licensing

inability to scope under clausemate sentential negation

- subject to locality restrictions
 - *Mary doesn't know someone here.
 - I don't think [Mary knows someone here]

Rescuing

- anti-licensor itself in scope of DE-operator
 - If Mary doesn't know someone there, she should stay at home.
 - Nobody doubts [Mary doesn't know someone here]

Disjunction markers as global and local PPIs

According to Spector (2014); Nicolae (2016), PPI-hood results from an obligatory **exhaustification** requirement (Chierchia 2013).

- syntactic operator **Exh** strengthens the meaning by eliminating alternative propositions
- vacuous exhaustification is disallowed since it doesn't lead to strengthening

Global PPIs (Spector 2014)

- relevant alternatives are **scalar alternatives**

Local PPIs (Nicolae 2016)

- relevant alternatives are **domain alternatives**

libo as a global PPI (Spector 2014)

- local and global PPIs only differ wrt the domain of anti-licensing
- *ergo* a PPI-disjunction must satisfy 2 requirements to be classified as global:
 - [v > ¬] under negation
 - this reading must persist across multiple clause boundaries

Anti-licensing not subject to locality restrictions

- (7) *Ja ne dumaju [čto on govorit po-russki libo po-nemecki]
 I not think that he speaks by-Russian LIBO by-German
 ('I don't think he speaks either language.')

ili as a local PPI: locality of anti-licensing

[¬ > v] in predication

- (8) Ja ne ščitaju pivo vrednym ili protivnym
 I not consider beer harmful or gross
 'I do not consider beer harmful or gross.'
- (9) on ne byl / budet vorom ili mošennikom
 he not was / will.be thief or crook
 'He {wasn't/won't be} a thief or a crook.'

[¬ > v] across clause boundaries

- (10) Ja ne dumaju [čto on govorit po-russki ili po-nemecki]
 I not think that he speaks by-Russian ILI by-German
 'I don't think he speaks either language.'

Against ili being a local PPI: order and scope

Consider the contrast between the *in-situ* and fronted disjunction:

- (11) On ne govorit po-russki ili po-nemecki
 he not speaks by-Russian or by-German
 ‘He doesn’t speak Russian or German.’ [v > ¬]
- (12) [Po-russki ili po-nemecki] on ne govorit
 by-Russian or by-German he not speaks
 ‘Russian or German, he doesn’t speak.’ [¬ > v]

- perhaps akin to Beck’s intervention effects? (NB: very tentative)
- both clausal and phrasal disjunction are required (cf. Toosarvandani 2013 for corrective *but*)
- *ne* ‘not’ isn’t sentential negation but is instead licensed by an abstract negation operator OP_{\neg} (cf. Zeijlstra 2004)

Acceptability of disjunction under negation

So far we’ve been assuming that (16) was a good sentence of Russian.

Overt scope paradox

- fronting the disjunction should change scope relations, yet the disjunction scopes under the negation,
- which it couldn’t do *in situ*
- not predicted by any approach to PPI-hood known to me

- (16) On ne govorit po-russki ili po-nemecki
 he not speaks by-Russian or by-German
 ‘He doesn’t speak Russian or German.’ [v > ¬]
- But my informal consultations with Russian-speaking linguists reveal that the sentence is hardly acceptable
 - unless there is a prosodic boundary between the two disjuncts

No competition between fronted *ili* ‘or’ and *ni_ni* ‘nor’:

- (13) [Po-russki ili po-nemecki] on ne govorit
 by-Russian or by-German he not speaks
 ‘Russian or German, he doesn’t speak.’ [¬ > v]
- (14) [Ni po-russki ni po-nemecki] on ne govorit
 nor by-Russian or by-German he not speaks
 ‘He doesn’t speak Russian or German.’ [¬ > v]

Alternative structure for [v > ¬]

- two clausal disjuncts + ellipsis

- (17) [On ne govorit po-russki] ili [~~on ne govorit~~ po-nemecki]
 he not speaks by-Russian or he not speaks by-German

- [v > ¬] follows naturally
- prosodic boundary between disjuncts highlights clausal disjunction structure
- limited acceptability due to
 - reparsing, or
 - problems with recoverability for ellipsis
- nice processing experiments to help us decide (Hoeks et al. 2006)

What works for *ili* doesn’t work for *libo*

- (15) * [Po-russki libo po-nemecki] on ne govorit
 by-Russian or by-German he not speaks
 (‘Russian or German, he doesn’t speak.’) [¬ > v]

Clausal and phrasal disjunction: copular facts

- conjunctive reading unavailable due to *ili* not being evacuable from underneath *ne*:

My idea (very informally)

- *ili* ‘or’ might be a local PPI
- PPI-hood should be formulated with reference to syntactic hierarchical relations rather than semantic notions such as downward entailment

- (18) * On ne [vor ili mošennik]
 he not thief or crook
 ('He isn't a thief or a crook.')

[phrasal] **Conclusions**

- disjunctive reading also unavailable
 - possibly because the way ellipsis is done in the second disjunct, it cannot be recovered

- at least 2 ways of deriving the PPI-behaviour of plain disjunction
 - obligatory exhaustification + scalar alternatives (*libo*)
 - *ne*-intervention (*ili*)
- which aren't necessarily incompatible
 - cf. Chierchia's (2013) **Exh**-based approach to negative concord

- (19) * [On ne vor] ili [~~on ne~~ mošennik]
 he not thief or he not crook
 ('He isn't a thief or a crook.')

[clausal] **References**

Clausal and phrasal disjunction: fronted disjunctions

- (20) [Po-russki ili po-nemecki] on ne govorit
 by-Russian or by-German he not speaks
 'Russian or German, he doesn't speak.'

[¬ > √]

- (21) LF: OP₋ [Russian or German] he *ne* speaks

- (22) [Po-russki ~~on ne govorit~~] ili [po-nemecki on ne govorit]
 by-Russian he not speaks or by-German he not speaks
 'Russian or German, he doesn't speak.'

[√ > ¬]

Advantages of this view

- anti-licensing being restricted to negation only falls out straightforwardly:
 - no intervening *ne* → no PPI-like effect
 - **Exh**-based accounts overgenerate
- wobbly judgements in re wide-scope readings are attributable to processing/parsing considerations

all whilst maintaining a reasonably credible syntax

Chierchia, Gennaro. 2013. *Logic in grammar: Polarity, free choice, and intervention*. Oxford University Press. doi:10.1093/acprof:oso/9780199697977.001.0001.

Hoeks, John C. J., Petra Hendriks, Wietske Vonk, Colin M. Brown & Peter Hagoort. 2006. Processing the noun phrase versus sentence coordination ambiguity: Thematic information does not completely eliminate processing difficulty. *The Quarterly Journal of Experimental Psychology* 59(9). Informa UK Limited. 1581–1599.

Nicolae, Andreea Cristina. 2016. Deriving the positive polarity behavior of plain disjunction. *Semantics and Pragmatics*.

Spector, Benjamin. 2014. Global positive polarity items and obligatory exhaustivity. *Semantics and Pragmatics* 7. Linguistic Society of America. 1–61. doi:10.3765/sp.7.11.

Szabolcsi, Anna. 2002. Hungarian disjunctions and positive polarity. In István Kenesei & Péter Siptár (eds.), *Approaches to Hungarian*, vol. 8, 217–239. Budapest: Akadémiai Kiadó.

Toosarvandani, Maziar. 2013. Corrective *but* coordinates clauses not always but sometimes. *Natural Language and Linguistic Theory* 31(3). Springer Science + Business Media. 827–863. doi:10.1007/s11049-013-9198-4.

Zeijlstra, Hedde. 2004. Sentential negation and negative concord. Universiteit van Amsterdam PhD thesis.